

97-09-01

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07/06/01  
jc961 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Kosciuszko, et al.

SC/Serial No.: Unassigned

Confirm. No.: Unassigned

Filed: Herewith

Title: METHOD AND APPARATUS FOR VIEWING THE  
EFFECT OF CHANGES TO AN INDEX FOR A  
DATABASE TABLE ON AN OPTIMIZATION  
PLAN FOR A DATABASE QUERY

PATENT APPLICATION

Art Unit: Unassigned

Examiner: Unassigned

Customer No. 23910

11000 U.S. PTO  
09/900791  
07/06/01

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL661693899US  
Date of Mailing: July 6, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling (Signature)  
Matthew A. Mahling  
Signature Date: July 6, 2001

CONTINUATION APPLICATION TRANSMITTAL LETTER  
UNDER 37 C.F.R. §1.53(b)(1)

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing as a X continuation \_\_\_ divisional application under the continuing application procedure of 37 C.F.R. §1.53(b)(1) is a patent application identified as follows:

Title: METHOD AND APPARATUS FOR VIEWING THE EFFECT OF CHANGES TO  
AN INDEX FOR A DATABASE TABLE ON AN OPTIMIZATION PLAN FOR A  
DATABASE QUERY

Inventors: Kosciuszko et al.

09900791-070601

**Identification of Prior Complete Application**

SC/Serial No.: 09/356,797

Art Unit: 2171

Filed: July 20, 1999

Examiner: F. Coby

Title: METHOD AND APPARATUS FOR VIEWING THE EFFECT OF CHANGES TO  
AN INDEX FOR A DATABASE TABLE ON AN OPTIMIZATION PLAN FOR A  
DATABASE QUERY

Inventors: Kosciusko et al.

**Enclosed Herewith are the Following:**

- X   Title Page.
- X   Thirty Eight (38) pages of specification.
- X   Four (4) pages of claims (9 claims).
- X   One (1) page of Abstract.
- X   Four (4) sheets of Drawings.
- X   A copy of the Declaration (signed by all inventors) from the Prior Complete Application.
- X   A copy of the Assignment to Platinum Technology IP, Inc., recorded on 09/23/1999 at Reel 010264 Frame 0833 from the Prior Complete Application.

**Amendments**

- X   Please AMEND the specification by inserting before the first line of the application:  
"This application is a continuation of Serial No. 09/356,797, filed July 20, 1999."

## Filing Fee

The continuation/divisional patent application filing fee is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$355.00 \$710.00	=	\$710.00
Total Claims <u>9</u> ** - 20	= <u>0</u> *	\$ 9.00 \$ 18.00	X	\$ 0.00
Independent Claims <u>3</u> ** - 3	= <u>0</u> *	\$ 40.00 \$ 80.00	X	\$ 0.00
First Presentation of Multiple Dependent Claim(s)	<u>0</u>	\$135.00 \$270.00	X	\$ 0.00
Total PATENT APPLICATION FILING FEE:				\$710.00

\* If the difference is less than zero, enter "0".

\*\* Count claims after any amendment.

## Method of Payment of Fees

The total fee associated with this communication is calculated as follows:

Patent application filing fee	\$710.00
Net fee for extension of time (See attached petition)	\$ 0.00
Assignment recordal fee (See attached cover sheet)	\$ 0.00
TOTAL FEE DUE:	\$710.00

X A check in the amount of the TOTAL FEE DUE is enclosed.

X The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A **duplicate** copy of this CONTINUATION OR DIVISIONAL APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R. §1.53(b)(1) form is enclosed.

**Correspondence Address**

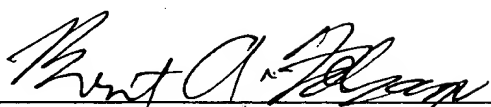
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Respectfully submitted,

Date: July 6, 2001

By:   
Brent A. Folsom  
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